



UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,700	11/02/2001	Sergey Frolov	2100/2

27774
MAYER, FORTKORT & WILLIAMS, PC
251 NORTH AVENUE WEST
2ND FLOOR
WESTFIELD, NJ 07090



CONFIRMATION NO. 2533

FORMALITIES LETTER



Date Mailed: 12/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/07/2002 BABRAHA1 00000064 501047 10003700

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 CH
02 FC:205 65.00 CH
03 FC:203 513.00 CH
04 FC:202 126.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$639.
 - \$513 for 57 total claims over 20.
 - \$126 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1074.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
2100/2

In Re Application Of: Serg y Frolov et al.



Serial No.
10/003,700

Filing Date
11/2/01

Examiner
Unassigned

Group Art Unit
2872

Inv ntion: **OPTICAL ALL-PASS FILTER WITH GAIN COMPENSATION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
12/20/01
Date

Enclosed herewith for filing are the following:

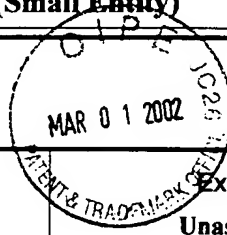
- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ not required verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.

☒ Other (list):

Recordation Form Cover Sheet, Patents Only
Assignment in favor of Inplane Photonics, Inc.

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)Docket No.
2100/2

In Re Application Of: Sergey Frolov et al.

Serial No.
10/003,700Filing Date
11/2/01Examiner
UnassignedGroup Art Unit
2872Invention: **OPTICAL ALL-PASS FILTER WITH GAIN COMPENSATION**TO THE ASSISTANT COMMISSIONER FOR PATENTS:Attention: Manager, Application Branch☒ Completion of application fees as calculated below:☒ Utility application filing fee \$370.00☐ Design application filing fee _____☒ Total number of independent claims = 6 \$126.00☒ Total number of claims = 77 \$513.00☐ Multiple dependent claims _____☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____☐ Fee for processing an application filed with a non-English language specification _____☐ Fee for processing and retention of application _____**Total completion of application fees** **\$1,074.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five monthsfrom: _____ until: _____
Date DateTotal time extension fees _____Total fees due **\$1,074.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
2100/2

In Re Application Of: **Sergey Frolov et al.**

Serial No.
10/003,700

Filing Date
11/2/01

Examiner
Unassigned

Group Art Unit
2872

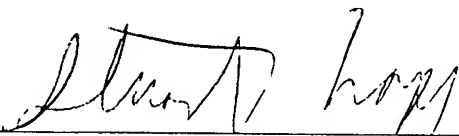
Invention: **OPTICAL ALL-PASS FILTER WITH GAIN COMPENSATION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$1,074.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1047
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-1047
A duplicate copy of this sheet is enclosed.



Signature

Dated:

2/15/02

**Stuart H. Mayer Reg. No. 35,277
Mayer Fortkort & Williams, PC
251 North Avenue West, 2nd Floor
Westfield, NJ 07090**

908-518-7700 Tel.

I certify that this document and fee is being deposited
on 2/20/02 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Marj rie Scariati

Typed or Printed Name of Person Mailing Correspondence

cc: